# TPM Guidebook and TPM CMM Launch

Web Room:

https://connectdot.connectsolutions.com/sr500tpmwebroom

Toll-Free number: 1-800-683-4564 Access Code: 912454

December 5, 2016





#### **Welcoming Remarks**



Peter J. Stephanos | FHWA Director, Office of Transportation Performance Management



#### Agenda



- TPM Overview/Toolbox Purpose
- The TPM Toolbox
  - OQ&A
- TPM Toolbox Demo
  - O&A
- Stakeholder Perspective
- Conclusion



#### What is TPM?



**Transportation Performance Management** is a strategic approach that uses system information to make investment and policy decisions to achieve national performance goals.

# TPM Professional Capacity Building Program



- Goal: to ensure transportation agencies and local partners are prepared to carry out performance-based decision-making
- Flements:
  - FHWA-sponsored training
  - FHWA-sponsored workshops
  - TPM capacity development pooled fund
  - TPM implementation review survey
  - Let's Talk Performance webinar series



5

#### Purpose of the TPM Toolbox



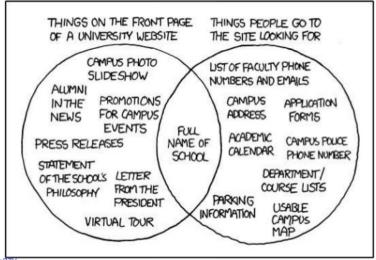
- 1. Allow agencies to assess current TPM capabilities
- Outline actions to improve upon current capabilities
- 3. Provide implementation steps and examples from agencies nationwide
- 4. Provide a collection of TPM resources
- 5. Move the TPM state-of-practice forward





#### Why Launch www.TPMTools.org as a Public Beta?

## The most important thing: **KEEP IT USER-CENTRIC**



http://xkcd.com/

U.S. Department of Transportation

Federal Highway Administration

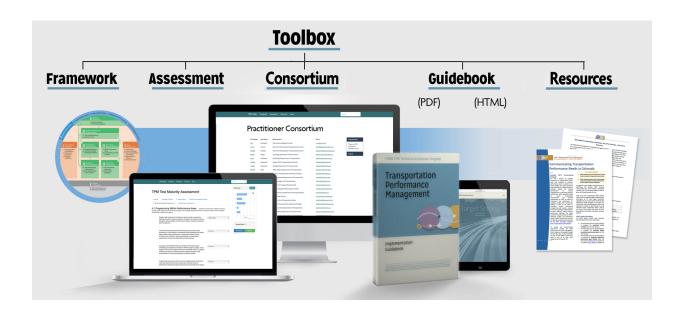
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### The TPM Toolbox



### **Toolbox Elements**







9

## **Implementation Process**



Assess maturity level

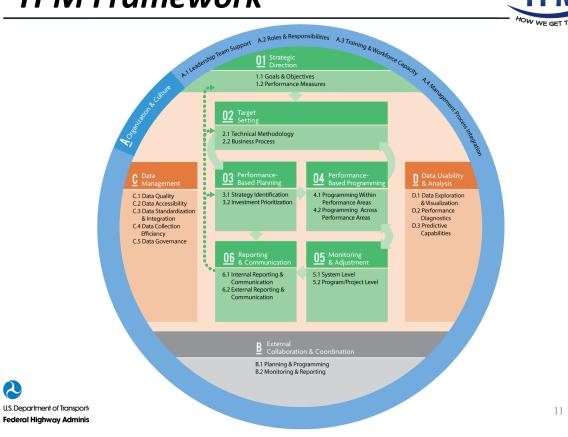
Determine action steps

Develop/ undertake action plan

Develop/ Undertake action Steps

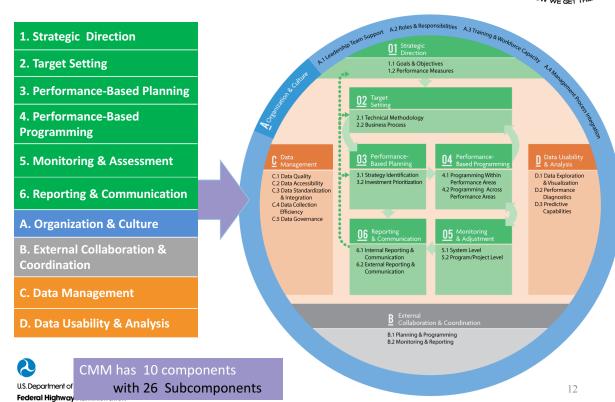
#### **TPM Framework**





#### **TPM Framework**





## CMM: Capability Maturity Model



### Purpose

- Assess current state of your agency
- Identify logical set of improvements
- Show benefit of moving to higher maturity levels

### Scope

- Assesses maturity on 1-5 scale
- For each TPM Component



13

### **TPM CMM Levels of Maturity**



Level	Definition
1.Initial	Ad hoc, uncoordinated, firefighting, champion-dependent
2.Developing	Nominal framework (e.g., organizational roles) being defined and systematic approaches starting to emerge
3.Defined	Framework and systems defined but not fully implemented or effectively supporting decision making
4.Functioning	TPM practices have been institutionalized, information used to guide actions, data improvements being pursued, basic predictive and tradeoff capabilities in place
5.Sustained	TPM will survive across new leadership, managers using performance information, data effectively managed, and external stakeholders view performance results as useful in promoting accountability and transparency

## TPM Capability Maturity



## Self-Assessment

- Interactive online tool
- Agencies use to determine their maturity level (1-5)
- Results
  - Maturity level
  - Actions to move to next level (from CMM)
  - Excerpts from the guidebook related to Actions



15

# TPM Capability Maturity Self-Assessment



- FHWA use of results
  - Develop and tailor technical assistance
  - Identify noteworthy practices and leading states
  - Monitor emerging TPM trends
- FHWA will NOT use to assess compliance



# Relation to Other Frameworks and Tools

TPM CMM

High-Level Agency-Wide Perspective



Specific Application Focus In-Depth

> DETAILED Assessment Tools

- Roadway Safety Data Capabilities Assessment
- HSIP
- Asset Management Gap Analysis Tool
- System Operations & Management
- Corridor Management TPM Model
- Incident Management
- In Progress: Traffic Management, Road
   Weather, Special Events, Work Zones, Signals

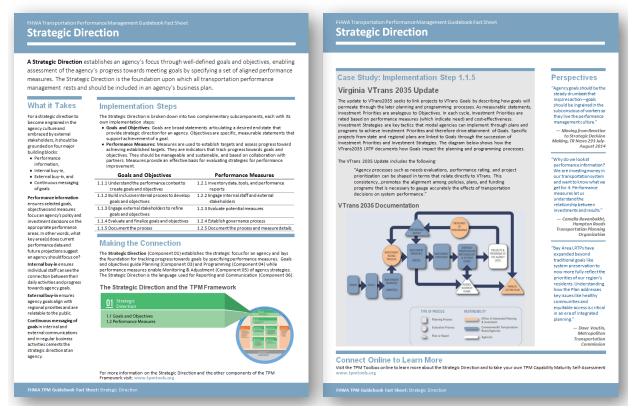
#### **TPM Guidebook**



- Focuses on "how" rather than "what"
- Implementation steps and related agency examples
- Self-contained and modular
- Note: <u>not intended for regulatory</u> compliance

#### TPM Guidebook Fact Sheets





#### **Practitioner Consortium**



- Database of public agency employees with TPM expertise
- To be used by FHWA/FTA and state agency staff to identify experts
- Dynamic filtering



#### **TPM Resources**



- Compilation of NCHRP, SHRP2, FHWA, and others
- Provide additional detail and background for 10 TPM Components
- Categorized by Component, TPM area, and resource type





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## **Questions?**



#### **Site Demonstration**

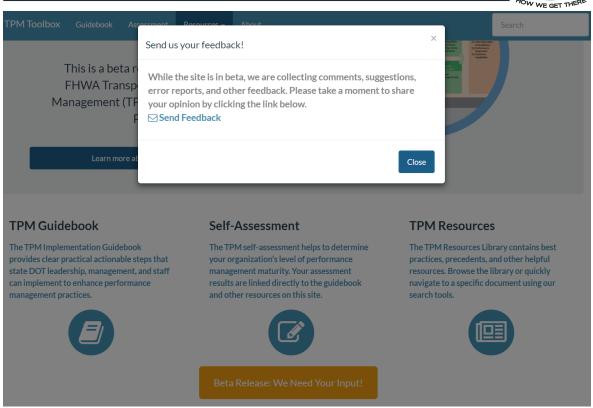


#### **TPM Toolbox Homepage**



#### TPM Toolbox Homepage





#### TPM Guidebook

Federa performance measures. The Strategic Direction is the foundation upon which all performance



### TPM Guidebook: Component 1





#### **Component Navigation**

CMM Components -

ook / Component 01 / Overview

Component 1

Component 2

Component 3

Component 6

Component A

Component C

TPM Toolbox

Component 1

Introduction Subcomponents and

**Implementation Steps Clarifying Terminology** 

Relationship to TPM Components

**Regulatory Resources** 



objectives by specifying a set of aligned performance measures. The Strategic Direction is

the foundation upon which all transportation performance management rest

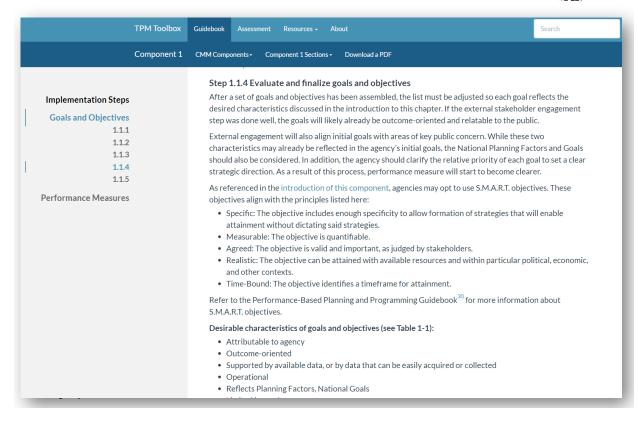
#### **Section Navigation**



т	TPM Toolbox	Guidebook	Assessm	nent Resources - Ab	oout	Search
C	Component 1	CMM Compo	onents •	Component 1 Sections •	Download a PDF	
Introduction Subcomponents and Implementation Steps Clarifying Terminology Relationship to TPM Components Regulatory Resources	component 1	This comp strate the o to regare the Self-A enhand direct Strate and o object	chapter conent degic direction materials and a segic Diobjectives before the cone of the c	Resources Download a PDF  Testing in the provides assistant of Transportation rection occurs with the components, provides and in the components, provides as in the components, provides and in the components, provides and in the components. It is an activities. It is any differ from what rection is the estal res, enabling assessing specifying a set of	ce to transportation agencies with the Strateg Performance Management (TPM). It discusses in the TPM Framework, describes how it interesents definitions for associated terminology, includes an action plan exercise. Key implementide by Maturity Self-Assessment as a starting point important to note that federal regulations for it is included in this chapter.  Dishment of an agency's focus through well-desiment of the agency's progress toward meeting of aligned performance measures. The Strategen transportation performance measures.	where the rrelates with provides links tation steps by Maturity ant for strategic efined goals g goals and ic Direction is

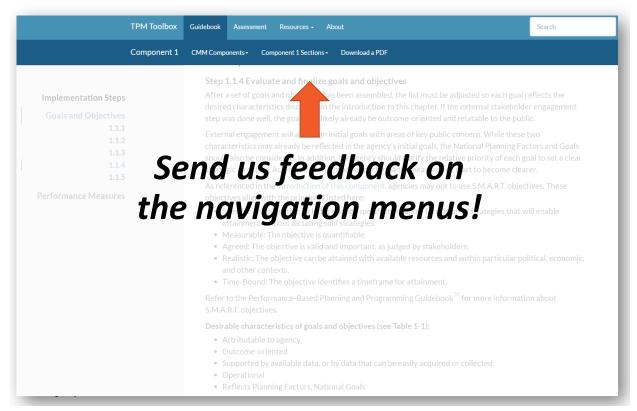
#### **Section Navigation**



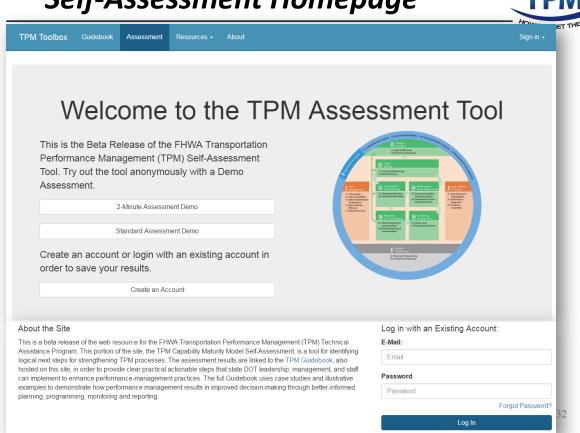


#### **Section Navigation**



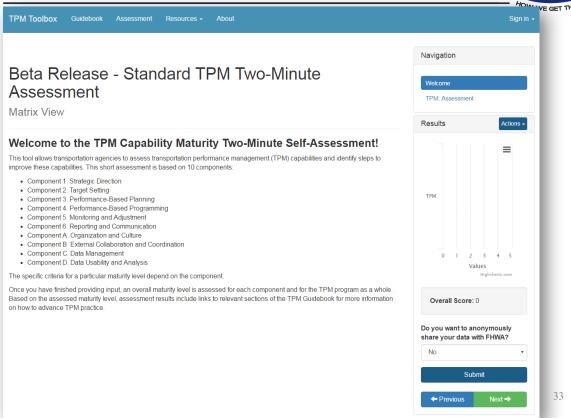


#### Self-Assessment Homepage

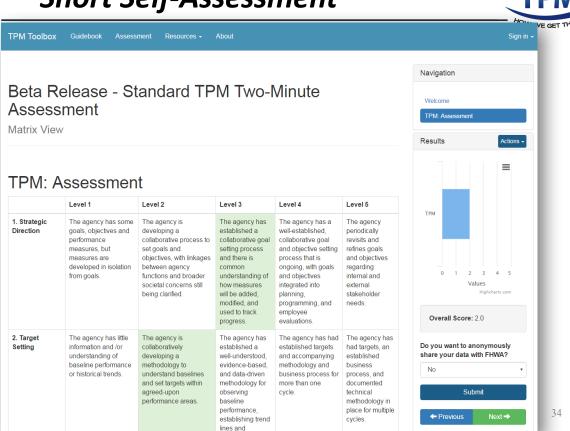


#### Short Self-Assessment



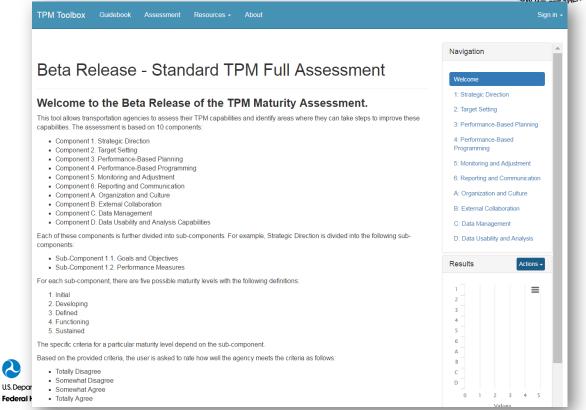


#### Short Self-Assessment

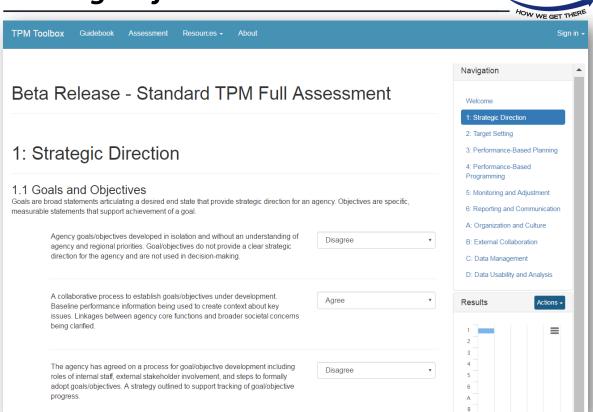


#### Long Self-Assessment



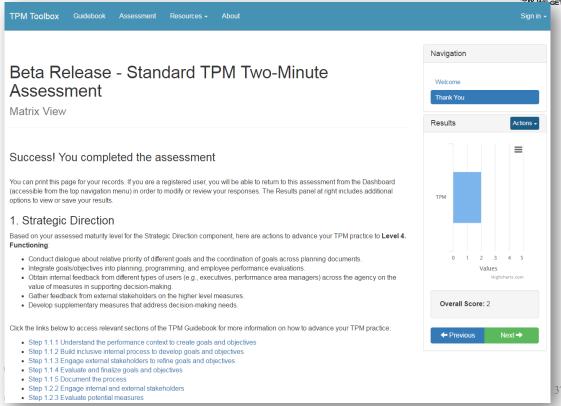


#### Long Self-Assessment



#### Self-Assessment Results





#### Self-Assessment Results



#### 1. Strategic Direction

Based on your assessed maturity level for the Strategic Direction component, here are actions to advance your TPM practice to **Level 4.** Functioning:

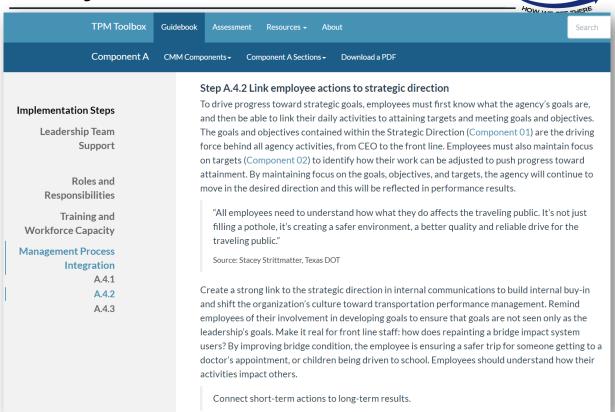
- · Conduct dialogue about relative priority of different goals and the coordination of goals across planning documents.
- Integrate goals/objectives into planning, programming, and employee performance evaluations.
- Obtain internal feedback from different types of users (e.g., executives, performance area managers) across the agency on the value of measures in supporting decision-making.
- Gather feedback from external stakeholders on the higher level measures.
- Develop supplementary measures that address decision-making needs.

Click the links below to access relevant sections of the TPM Guidebook for more information on how to advance your TPM practice:

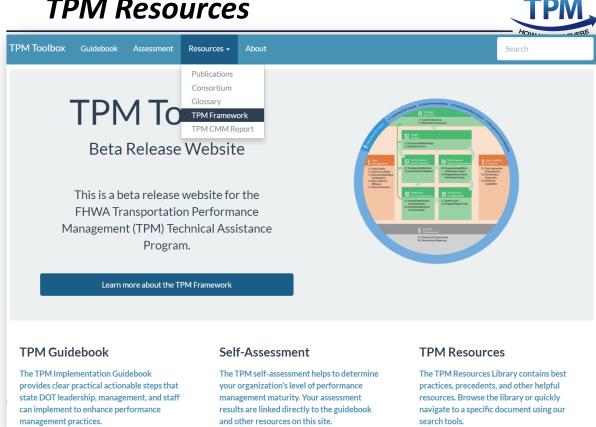
- Step 1.1.1 Understand the performance context to create goals and objectives
- Step 1.1.2 Build inclusive internal process to develop goals and objectives
- Step 1.1.3 Engage external stakeholders to refine goals and objectives
- Step 1.1.4 Evaluate and finalize goals and objectives
- Step 1.1.5 Document the process
- Step 1.2.2 Engage internal and external stakeholders
- Step 1.2.3 Evaluate potential measures
- Step 1.2.4 Establish governance process
- Step 1.2.5 Document the process and measure details
- Step 3.1.2 Identify key issues for each strategic goal and objective
- Step 3.2.3 Establish relative importance of strategic goals to guide strategy prioritization
- Step A.4.2 Link employee actions to strategic direction

#### **Self-Assessment Results**





#### TPM Resources



## **TPM Resources**



			HOM
TPM Toolbox Guidebook Assessmen	t Resources -	About	Search
Publications			
Filters	Mat	ching Publications	
Components		egislation, Regulations, and Guidance	
Component 1: Strategic Direction	(22)	9 CFR 613 – PLANNING ASSISTANCE AND STANDARDS 3 CFR 450,210 – COORDINATION.	
Component 2: Target Setting		ransportation Article Section 2-103.1 of the Annotated Code o	f Maryland
Component 3: Performance-Based Planning	(24)	trategic Transportation Investments 3 U.S.C. 135 – STATEWIDE TRANSPORTATION PLANNING	
Component 4: Performance-Based Programming	7.2	:3	5), AS ADDED PUB. L. 10
Component 5: Monitoring and Adjustment	(29) 8. F	Performance Measurement: Getting Results	
Component 6: Reporting and Communication	(25) 10. 1	nterim Guidance on National Performance Measure Developme Measuring Performance among State DOTs: Sharing Good Pract	
Component A: Organization and Culture	(24)	Measuring Performance Among State DOTs  MN TZD Mission, Goals, & Values	
Component B: External Collaboration	(32) 13.	arget-Setting Workshop	
Component C: Data Management	(42)	COPM Task Force Findings on MAP-21 Performance Measure About HB2	Target-Setting
Component D: Data Usability and Analysis Capabilities	16. 1	HB2 Implementation Policy Guide (Seeping Score for the Game our Customers Care About	
TPM Areas	18. 7 19. \	raffic Flow Data Program R009, Agreement for Use of Data //ital Signs - Greenhouse Gas Emissions //etro Regional Centerline Collaborative	
☐ Environment	(1)	-	1 2 3 11
	(000)		

### **TPM Consortium**



	TPM Practitioner Co	onsortium					
	The purpose of the TPM Practitioner Consortium is to identify a pool of transportation practitioners who can support a range of TPM activities (e.g., training material review, workshop participation, guidebook critique, survey response, product review, conference presenting). This consortium is intended to help advance the adoption of performance management principles in the transportation field.						
	Contact Information						
	Provide your contact information in order to participate	pate in the TPM Practitioner Consortium.					
	First Name" Last Name" Organization		ı				
	Email*						
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	S/TIP developn						
	Programming and investment decision-making						
	Demand forecasting and modeling						
	Project analysis/Corridor analysis						
	Scenario planning						
	Economic impact analysis						
	Asset management						
	Systems operations						
	ITS						
	Congestion management						
	Safety						
	Freight						
	Sustainability						
	Environment						
	Livability						
U.S. Department of	Climate change		4.0				
Federal Highway Adminis			42				

## **Questions?**



## Stakeholder Perspective



#### Stakeholder Perspective



- Stakeholders Input
- Best Practices
- Applicable to all Agencies
- Business Model
- Collaboration
- Beyond FAST Act/ MAP-21



45

### **Workshop Planning**

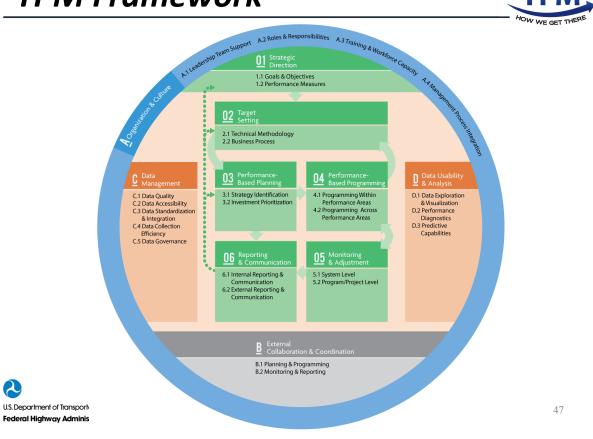


- Executive Buy-in
- Area(s) of Focus
- Identification of Participants
- Workshop Framework
- Coordination Calls
- Self Assessment prior to Workshop
- TPM CMM Introduction Webinar



#### **TPM Framework**





## **Workshop Planning**



- Executive Buy-in
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## **During the Workshop**



- Agency Specific Assessment Results
- Interactive Agenda
  - Mixed Agency Breakouts
  - Agency by Agency Breakouts
- Agency Specific Action Plans
- Two Things Needed
- Two Things to Contribute



40

## Benefits



- Usable by Any Agency
- Best Practices
- Action Plans
- Workshop Collaboration

#### **Next Steps**



- Explore the Tool
  - Guidebook
  - Self-Assessment
  - Provide beta feedback
- Determine Scope of Use at your Agency
  - Develop Prioritized List of Components
- Request a Workshop
- Review Periodically



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## Wrap-up





#### Features Under-development in December

- Enhanced Save/Print Assessment Results
- Practitioners Consortium
- Changing navigation menus to component name rather than "Component x"
- Ability to label and save assessments by Performance Area
- Other user interface refinements



53



# TPM Self-Assessment Workshops/Peer Exchanges (to-date)

- Peer Exchanges
  - ✓ May 2016 :TPM Data Management
- Self-Assessment Workshops
  - ✓ August 2016: Missouri (Data Management and Data Usability)
  - September 2016: Michigan (Target Setting and Monitoring and Adjustment)
  - October 2016: AMPO Annual Meeting (Safety Target Coordination)
  - ✓ November 2016: Tennessee (Safety Target Coordination)
  - December 2016: New Mexico (Strategic Direction and PBPP)
  - January 2017: Arkansas (Target Setting and PBPP)



More to come in 2017!!



#### Request TPM Capacity Building Assistance

- TPM Capacity Building Program
  - http://www.fhwa.dot.gov/tpm/tpmrequest/
    - Training opportunities
    - TPM Workshops and Peer-to-Peer assistance
    - Sign-up for the TPM Newsletter
- Explore <u>WWW.TPMTOOLS.ORG</u>!



55

#### **Contacts**



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